TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))						cket No. 600-1819			
In Re A	n Re Application of: Anthony J. Baerlocher et al.								
Applic	Application No. Filing Date Examiner Customer No. Group Art Unit Confirmation No.								
10/7	738,426 PADE	December 16, 2003	Sunit Pandya	29159	3714	8046			
Title:	GAMING D	EVICE HAVING OU	TCOMES WHICH REPLICAT	TE THE LAWS	OF PHYSICS				
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			Address to: Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-14						
			37 CFR 1.97(b)						
1.	1.   The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.								
			37 CFR 1.97(c)						
2. 🛚									
	☐ the	statement specified i	n 37 CFR 1.97(e);						
			OR						
★ the fee set forth in 37 CFR 1.17(p).									
	•								

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) O \ P E						cket No. 300-1819		
In Re Application of: Anthony J. Baerlocher et al.  MAR 0 9 2006								
Application No.	Filing Date	Examine	TATA TRADE	Customer No.	Group Art Unit	Confirmation No.		
10/738,426	December 16, 2003	Sunit Pand		29159	3714	8046		
Title: GAMING D	Title: GAMING DEVICE HAVING OUTCOMES WHICH REPLICATE THE LAWS OF PHYSICS							
☐ The Director as describer☐ Ch	The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.							
☐ Payment by WARNING	arge any additional for y credit card. Form P : Information on thi in this form. Provide	TO-2038 is attache	ne public. C	redit card info authorization	rmation should on PTO-2038.	I not be		
Certificate of Transmission by Facsimile*  I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa  (Date)				certify that this con Inited States Posta class mail in	ing by First Class rrespondence is being Service with suffing an envelope and P.O. Box 1450, Ale	ing deposited cient postage ddressed to		
	Signature				rson Mailing Correspondence enee Street			
Typed or I	Printed Name of Person Sig	ning Certificate	Тур	ed or Printed Name	of Person Mailing C	ertificate		
*This certific deposit accord Lun  Adam H. Masia (Re Customer No. 29159 312-807-4284	Signature g. No. 35,602)	if paying by	Dated:	March 6, 2006				
cc:								

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Anthony J. Baerlocher et al.

Appl. No.: 10/738,426

Conf. No.: 8046

Filed: December 16, 2003

Title: GAMING DEVICE HAVING OUTCOMES WHICH REPLICATE THE

LAWS OF PHYSICS

Art Unit: 3714

Examiner: Sunit Pandya Docket No.: 0112300-1819

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form be made during the course of examination of the above-identified application for United States patent. Pursuant to 37 C.F.R. 1.98, copies of all foreign patent documents and non-patent documents are enclosed.

#### **U.S. PATENT DOCUMENTS**

Document No.	<u>Date</u>	Inventor
5,106,879	May 21, 1991	Parker et al.
5,131,655	July 21, 1992	Ugawa
5,509,655	April 23, 1996	Ugawa
5,836,819	November 17, 1998	Ugawa
5,951,009	September 14, 1999	Miyamoto et al.
6,340,158	January 22, 2002	Pierce et al.
6,398,219	June 4, 2002	Pierce et al.
6,769,982	August 3, 2004	Brosnan

### FOREIGN PATENT DOCUMENTS

Document No.	<u>Date</u>	Country
GB 2 341 262	March 8, 2000	Great Britain

WO 2005/094954 October 13, 2005 PCT

03/10/2006 EHAILE1 00000026 10738426

03 FC:1806

180.00 OP

Appl. No. 10/738,426

## **OTHER DOCUMENTS**

Big Top Keno advertisement written by Aristocrat, published in 2000 (1 page).

Spring Carnival Article written by Strictly Slots, published in 2003 (3 pages).

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY Udur H long Adam H. Masia

Reg. No. 35,602

Customer No. 29159

Dated: March 6, 2006

# FORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449

PTO Form 1449

•	Atty Docket No. 112300-1819	Application No. 10/738,426	
	Applicant Anthony J. Baerlocher 6	et al.	
	Filing Date	Group	
	December 16, 2003	3714	

A Page of C	¢,	U.S. PATENT	DOCUMENTS			
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	5,106,879	May 21, 1991	Parker et al.			
	5,131,655	July 21, 1992	Ugawa			
	5,509,655	April 23, 1996	Ugawa			
	5,836,819	November 17, 1998	Ugawa			
	5,951,009	September 14, 1999	Miyamoto et al.			
	6,340,158	January 22, 2002	Pierce et al.			
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	6,769,982	August 3, 2004	Brosnan			

FOREIGN PATENT DOCUMENTS							
Examiner's	Examiner's Document Publication Translation						
Initials	Number	Date	Country	Class	Subclass	Yes	No
	GB 2 341 262	March 8, 2000	Great Britain				
	WO 2005/094954	October 13, 2005	PCT				

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	Big Top Keno advertisement written by Aristocrat, published in 2000 (1 page).			
	Spring Carnival Article written by Strictly Slots, published in 2003 (3 pages).			

Examiner:	Date Considered:

<sup>\*</sup>Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.